

Caixa Geral de Depósitos S.A.

Form CCP1

Name of bank / group	Date	Event description	Full name of legal entity involved (and place of incorporation and principal place of business)	Source of information	Code (See CCP2)	Jurisdiction where event occurred (e.g., where fine imposed)	Name of regulator or other authority	Amount [EUR M]	Amount [GBP M]	Any specific observations of compiler
2009										
Caixa Geral de Depósitos S.A.	31/12/2009	Lawsuits and tax disputes	Caixa Geral de Depósitos S.A. Incorporated: Portugal Principal place of business: Portugal	Annual Report (for 2009), pg 318	TAX	Portugal		0.41	0.34	The Bank specified the cost associated with it.
Caixa Geral de Depósitos S.A.	31/12/2009	Other costs	Caixa Geral de Depósitos S.A. Incorporated: Portugal Principal place of business: Portugal	Annual Report (for 2009), pg 343	OTH	Portugal		1.19	0.99	
									Total (CC 2009): GBP 1,33 M	

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2010										
Caixa Geral de Depósitos S.A.	31/12/2010	Lawsuits and tax disputes	Caixa Geral de Depósitos S.A. Incorporated: Portugal Principal place of business: Portugal	Annual Report (for 2010), pg 357	TAX	Portugal		1.33	1.11	The Bank specified the cost associated with it.
Caixa Geral de Depósitos S.A.	31/12/2010	Other costs	Caixa Geral de Depósitos S.A. Incorporated: Portugal Principal place of business: Portugal	Annual Report (for 2010), pg 382	OTH	Portugal		4.01	3.34	
									Total (CC 2010): GBP 4,45 M	

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2011										
Caixa Geral de Depósitos S.A.	18/04/2012	Defective internal controls (embezzlement)	Caixa Geral de Depósitos S.A. Incorporated: Luxembourg Principal place of business: Portugal	Portuguese Newspaper	CON	Luxembourg		3.50	2.92	Event occurred in 2011.
Caixa Geral de Depósitos S.A.	31/12/2011	Lawsuits and tax disputes	Caixa Geral de Depósitos S.A. Incorporated: Portugal Principal place of business: Portugal	Annual Report (for 2011), pg 383	TAX	Portugal		0.99	0.83	The Bank specified the cost associated with it.
Caixa Geral de Depósitos S.A.	31/12/2011	Other costs	Caixa Geral de Depósitos S.A. Incorporated: Portugal Principal place of business: Portugal	Annual Report (for 2011), pg 411	OTH	Portugal		0.38	0.31	

Total (CC 2011): GBP 4,06 M

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2012										
Caixa Geral de Depósitos S.A.	06/02/2012	Compensation: labor problems	Caixa Geral de Depósitos S.A. Incorporated: Portugal Principal place of business: Portugal	Portuguese Newspaper	EMP	Portugal	Court	0.03	0.02	
Caixa Geral de Depósitos S.A.	31/12/2012	Lawsuits and tax disputes	Caixa Geral de Depósitos S.A. Incorporated: Portugal Principal place of business: Portugal	Annual Report (for 2012), pg 418	TAX	Portugal		29.00	24.17	The Bank specified the cost associated with it.
Caixa Geral de Depósitos S.A.	31/12/2012	Other costs	Caixa Geral de Depósitos S.A. Incorporated: Portugal Principal place of business: Portugal	Annual Report (for 2012), pg 453	OTH	Portugal		1.32	1.10	

Total (CC 2012): GBP 25,29 M

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2013										
Caixa Geral de Depósitos S.A.	31/12/2013	Lawsuits and tax disputes	Caixa Geral de Depósitos S.A. Incorporated: Portugal Principal place of business: Portugal	Annual Report (for 2013), pg 439	TAX	Portugal		4.27	3.55	The Bank specified the cost associated with it.
Caixa Geral de Depósitos S.A.	31/12/2013	Other costs	Caixa Geral de Depósitos S.A. Incorporated: Portugal Principal place of business: Portugal	Annual Report (for 2013), pg 474	OTH	Portugal		2.50	2.08	

Total (CC 2013): GBP 5,63 M

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2014										
Caixa Geral de Depósitos S.A.	31/12/2014	Lawsuits and tax disputes	Caixa Geral de Depósitos S.A. Incorporated: Portugal Principal place of business: Portugal	Annual Report (for 2014), pg 383	TAX	Portugal		4.72	3.69	The Bank specified the cost associated with it.
Caixa Geral de Depósitos S.A.	31/12/2014	Other costs	Caixa Geral de Depósitos S.A. Incorporated: Portugal Principal place of business: Portugal	Annual Report (for 2014), pg 408	OTH	Portugal		0.78	0.61	
Caixa Geral de Depósitos S.A.	31/03/2014	Fine: market manipulation	Caixa Geral de Depósitos S.A. Incorporated: Portugal Principal place of business: Portugal	Portuguese Economical Newspaper	MAB, CON	Portugal	CMVM	0.30	0.23	Market trading in order to influence the stock price.

Total (CC 2014): GBP 4,53 M

Total (CC 2009-2014): GBP 45,29 M

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Provisions as at 31 December 2014										
Caixa Geral de Depósitos S.A.	31/12/2014	Lawsuits and tax disputes	Caixa Geral de Depósitos S.A. Incorporated: Portugal Principal place of business: Portugal	Annual Report (for 2014), pg 383	OTH, TAX, PVN	Portugal		118.97	93.11	
								Total (Provisions as at 31 December 2014): GBP 93,11 M		
								Total (CC 2009-2014 + Provisions as at 31 December 2014): GBP 138,41 M		