

Banco Comercial Português S.A.

Form CCP1

Name of bank / group	Date	Event description	Full name of legal entity involved (and place of incorporation and principal place of business)	Source of information	Code (See CCP2)	Jurisdiction where event occurred (e.g., where fine imposed)	Name of regulator or other authority	Amount [EUR M]	Amount [GBP M]	Any specific observations of compiler
2009										
Banco Comercial Português S.A.	31/12/2009	Provisions for other risks	Banco Comercial Português S.A. Incorporated: Portugal Principal place of business: Portugal	Annual Report (for 2009), pg 93 - V 2	OTH	Portugal		14.92	12.44	
Banco Comercial Português S.A.	04/08/2009	Violation of regulations	Banco Comercial Português S.A. Incorporated: Portugal Principal place of business: Portugal	Portuguese Newspaper	DIS	Portugal	CMVM	2.50	2.08	
Banco Comercial Português S.A.	12/10/2009	Disclosure of false information	Banco Comercial Português S.A. Incorporated: Portugal Principal place of business: Portugal	Portuguese Newspaper	DIS	Portugal	CMVM	0.25	0.21	
Banco Comercial Português S.A.	28/12/2009	Losses of fraudulent credit	Banco Comercial Português S.A. Incorporated: Portugal Principal place of business: Portugal	Portuguese Newspaper	MIS	Portugal		4.78	3.98	
Banco Comercial Português S.A.	31/12/2009	Violation of regulations	Banco Comercial Português S.A. Incorporated: Portugal Principal place of business: Portugal	Indicator PR09, 2009 GRI Index	DIS	Portugal	CMVM	0.16	0.14	

Total (CC 2009): GBP 18,84 M

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2010										
Banco Comercial Português S.A.	31/12/2010	Utilised Provisions for other risks	Banco Comercial Português S.A. Incorporated: Portugal Principal place of business: Portugal	Annual Report (for 2010), pg 104 - V 2	OTH	Portugal		3.40	2.84	Due to the aggregate nature, the researcher is unable to identify with accuracy the Conduct Costs. However, this is the best available proxy for Conduct Costs
Banco Comercial Português S.A.	09/03/2013	Incomplete disclosure	Banco Comercial Português S.A. Incorporated: Portugal Principal place of business: Portugal	Portuguese Newspaper	DIS	Portugal	CMVM	0.08	0.06	
Banco Comercial Português S.A.	16/06/2010	Incomplete disclosure	Banco Comercial Português S.A. Incorporated: Portugal Principal place of business: Portugal	Portuguese Newspaper	DIS	Portugal		0.21	0.18	
Banco Comercial Português S.A.	16/06/2010	Fine for failure in systems and controls	Banco Comercial Português S.A. Incorporated: Portugal Principal place of business: Portugal	Portuguese Newspaper	DIS	Portugal	Banco de Portugal	0.12	0.10	

Total (CC 2010): GBP 3,17 M

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2011										
Banco Comercial Português S.A.	31/12/2011	Utilised Provisions for other risks	Banco Comercial Português S.A. Incorporated: Portugal Principal place of business: Portugal	Annual Report (for 2011), pg 104 - V 2	OTH	Portugal		5.23	4.35	Due to the aggregate nature, the researcher is unable to identify with accuracy the Conduct Costs. However, this is the best available proxy for Conduct Costs

Total (CC 2011): GBP 4,35 M

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2012										
Banco Comercial Português S.A.	31/12/2012	Utilised Provisions for other risks	Banco Comercial Português S.A. Incorporated: Portugal Principal place of business: Portugal	Annual Report (for 2012), pg 281	OTH	Portugal		4.69	3.90	Due to the aggregate nature, the researcher is unable to identify with accuracy the Conduct Costs. However, this is the best available proxy for Conduct Costs

Total (CC 2012): GBP 3,9 M

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2013										
Banco Comercial Português S.A.	31/12/2013	Utilised Provisions for other risks	Banco Comercial Português S.A. Incorporated: Portugal Principal place of business: Portugal	Annual Report (for 2013), pg 240	OTH	Portugal		28.67	23.83	Due to the aggregate nature, the researcher is unable to identify with accuracy the Conduct Costs. However, this is the best available proxy for Conduct Costs

Total (CC 2013): GBP 23,83 M

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2014										
Banco Comercial Português S.A.	31/12/2014	Violation of regulations	Banco Comercial Português S.A. Incorporated: Portugal Principal place of business: Portugal	Annual Report (for 2014), pg 275	DIS	Portugal	CMVM	0.04	0.03	Failure on the record and elements of transactions transmitted by two clients. The Bank specified the cost associated with it.
										Total (CC 2014): GBP 0,03 M

Total (CC 2014): GBP 0,03 M

Total (CC 2009-2014): GBP 54,13 M

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Provisions as at 31 December 2014										
										Total (Provisions as at 31 December 2014): GBP 3,13 M
Total (Provisions as at 31 December 2014): GBP 0 M										
Total (CC 2009-2014 + Provisions as at 31 December 2014): GBP 54,13 M										