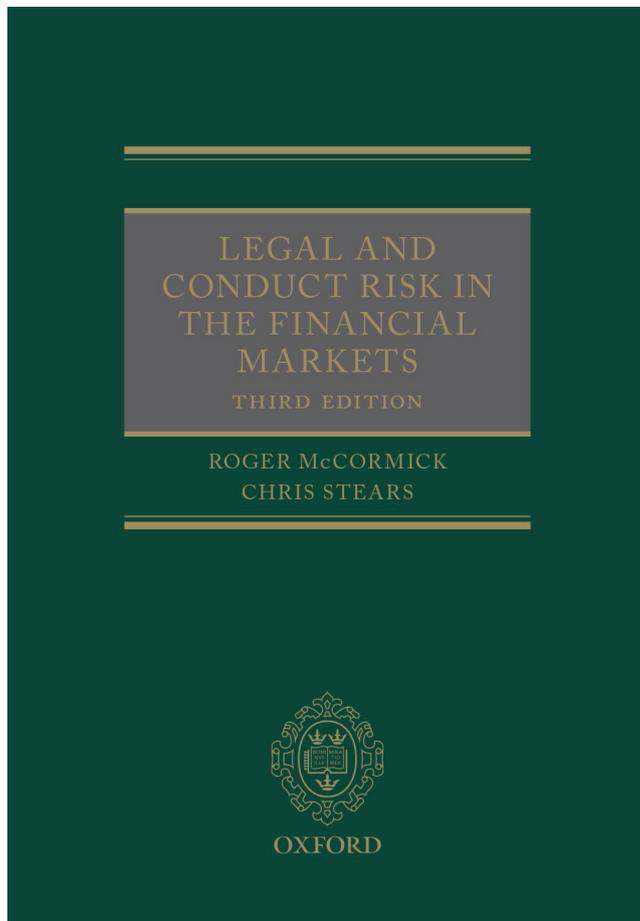


Legal and Conduct Risk in the Financial Markets, *Third Edition*

Roger McCormick and Chris Stears

SAVE
20%



March 2018
9780198749271
Hardback
~~£175.00~~ £140.00

This is the third edition of the only work to focus on the topic of legal risk, expanded in this edition to include much new material specifically on conduct risk. The book has been updated to take into account developments in the law and professional standards concerning such risks and associated values in the context of the financial markets.

Significant (and in some cases, endemic) conduct-related scandals, such as the widespread mis-selling of financial products and LIBOR manipulation, exposed (even precipitated) by the Financial Crisis, have resulted in legal and regulatory change in equal measure (and profound effect) to that of the prudential and financial stability concerns captured in the second edition. Consequently this new edition fully examines the current approach to trust, ethics and conduct within the broader framework of reputational and legal risk. In doing so, it clarifies what constitutes legal risk in contemporary financial markets and how to manage it, drawing on examples and case studies.

Other developments in areas such as the resolution/insolvency of banks, the revision of the UK regulatory structure from the FSA to the FCA and PRA, and the recently made new crime of reckless management of a bank are all considered in full. There is also discussion of trends in areas ripe for development such as fiduciary duty amongst financial markets participants.

Combining practical emphasis with theoretical depth, this is an approachable and engaging reference guide to this important and evolving area of law.

Quote promotional code **ALFLY5F** to claim your **20% discount**

ONLINE | www.oup.com/uk/law

*Please quote **ALFLY5F** when ordering. Limit 10 copies per transaction. Offer valid until 31st March 2019. This offer is only available to individual (non-trade) customers when ordering direct from the Oxford University Press website. This offer is exclusive and cannot be redeemed in conjunction with any other promotional discounts.

eBooks: eBooks are not directly sold by OUP. For an up-to-date list of eBook suppliers, visit: www.oup.com/uk/academic/ebooks. eBooks are not part of any discount promotions offered. Whilst we are working hard to ensure that eBooks are available at the same time as the printed book, on some occasions there may be a delay.

The specifications in this leaflet/catalogue, including without limitation price, format, extent, number of illustrations, and month of publication, were as accurate as possible at the time it went to press.

OXFORD
UNIVERSITY PRESS